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1  % 14 + 34 + 19 + 43 + 26 = 136
2  % =====
3  % Dossier "Animaux" (14 articles)
4
5  @TechReport{Gordon64,
6      author = {Gordon, Theodore J. and Olaf Helmer-Hirschberg},
7      year = {1964},
8      title = {{Report on a Long-Range Forecasting Study}},
9      institution = {RAND Corporation},
10     url = {http://www.rand.org/pubs/papers/P2982}
11 % the panel of experts estimated that the possibility of "breeding of intelligent
12 % animals (ape, cetaceans, etc) for low-grade labor" could be realized as soon as 2020
13 % with a median at 2050
14 }
15 @Article{Emery04,
16     author = {Emery, Nathan J. et al.},
17     title = {{The Mentality of Crows: Convergent Evolution of Intelligence in
18 % Corvids and Apes}},
19     journal = {Science},
20     volume = {306},
21     pages = {1903-1907},
22     year = {2004}
23 % corvid and ape appear to use the same cognitive tool kit: causal reasoning,
24 % flexibility, imagination, and prospection. nonverbal complex cognition may be a
25 % combination of these tools. convergent evolution of cognition is not built on a
26 % convergent evolution of brains. intelligence can evolve in the absence of a
27 % prefrontal cortex.
28 }
29 @Article{Trut04,
30     author = {Trut et al.},
31     title = {{An Experiment on Fox Domestication and Debatable Issues of
32 % Evolution of the Dog}},
33     journal = {Russian Journal of Genetics},
34     volume = {40},
35     pages = {644-655},
36     year = {2004}
37 % Selection for tameness involves genetic systems participating in the regulation of
38 % temporal parameters of ontogenetic development. Shifts of these parameters result in
39 % neotenic features accompanied by many phenotypic changes. This selection pressure was
40 % extreme: only 3\% of males and no more than 8 to 10\% of females were used as the
41 % parents of the next generation. Since it involved key loci of gene networks or
42 % functionally coordinated gene groups regulating development, it could destabilize
43 % ontogeny and its temporal parameters. Activity of the hypothalamus-hypophysis-adrenal
44 % system and the level of brain neurotransmitters was also affected by domestication.
45 }
46 @Article{Duncan06,
47     author = {Duncan, Ian J.H.},
48     title = {{The changing concept of animal sentience}},
49     journal = {Applied Animal Behaviour Science},
50     volume = {100},
51     pages = {11-19},
52     year = {2006}
53 % Since the middle ages, the concept of animal sentience was accepted by the secular
54 % but not by philosophers like Descartes. Only after the 18th century does it start to
55 % spread, before being impeded by behaviourism. It is nowadays motivated by animal
56 % welfare. Assessing animals indirectly is difficult and there are still gaps in our
57 % knowledge about where (on the phylogenetic scale) and when (in ontogenesis) sentience
58 % emerges.
59 }
60 @Article{Kirkden06,
61     author = {Kirkden, Richard D. and Pajor, Edmond A.},
62     title = {{Using preference, motivation and aversion tests to ask scientific
63 % questions about animals feelings}},
64     journal = {Applied Animal Behaviour Science},
65     volume = {100},
66     pages = {29-47},
67     year = {2006}

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47 % The assessment of animal welfare require the study of their feelings, which can be
    quantified indirectly with various tests measuring preference, motivation and
    aversion. These tests fall into two overlapping categories (choice and operant) and
    have each their own strengths and weaknesses, limitations and methodological problems.
48 }
49 @Article{Nicol06,
50     author = {Nicol, Christine},
51     title = {{How animals learn from each other}},
52     journal = {Applied Animal Behaviour Science},
53     volume = {100},
54     pages = {58-63},
55     year = {2006}
56 % The ability to learn from others is not 'fixed' but depends on the context and the
    social identity of both the observer and the demonstrator. On one side, the learner
    can gain information from a parent, a demonstrator or a dominant. On the other end,
    parents can adjust their display to the skill level of their offspring.
57 }
58 @Article{Pepperberg06,
59     author = {Pepperberg, Irene M.},
60     title = {{Cognitive and communicative abilities of Grey parrots}},
61     journal = {Applied Animal Behaviour Science},
62     volume = {100},
63     pages = {77-86},
64     year = {2006}
65 % Model/Rival training exclusively uses intrinsic reinforcers : reward for uttering
    "X" is X. data suggest that a non-human, non-primate, non-mammalian animal has a
    level of numerical comprehension that, in a chimpanzee, would be taken to indicate a
    human level of cognitive processing. Grey parrots can solve various cognitive tasks
    and acquire and use English speech in ways that often resemble those of very young
    children.
66 }
67 @Article{Broom10,
68     author = {Broom, Donald M.},
69     title = {{Cognitive ability and awareness in domestic animals and decisions
    about obligations to animals}},
70     journal = {Applied Animal Behaviour Science},
71     volume = {126},
72     pages = {1-11},
73     year = {2010}
74 % Concepts used in cognition, awareness and animal welfare research should be
    properly defined in scientific writing rather than just being referred to in
    descriptive but imprecise ways. Domestic animals have some ability for recognition,
    cognition, risk assessment, cognitive awareness, assessment awareness, emotions and
    feelings and hence are sentient. An ability in individuals of a species does not
    necessarily mean that all members of the species have the ability but the level of
    complexity of functioning of the animal should be taken into account. High levels of
    cognitive ability may often help animals to cope with their environment, but there is
    a possibility that animals may have fear of possible future adversity.
75 % In learning to navigate a maze, cows, sheep, goats and pigs performed less well
    than 5-year-old children but better than dogs, cats, rats, horses and several other
    mammals and birds when the numbers of errors were measured. When speed of learning
    was compared in the same study, the sequence was very similar but dogs performed as
    well as the farm ungulates.
76 }
77 @Article{Herzing12,
78     author = {Herzing et al.},
79     title = {{Responses of Human-Habituated Wild Atlantic Spotted Dolphins to
    Play Behaviors Using a Two-Way Human/Dolphin Interface}},
80     journal = {International Journal of Comparative Psychology},
81     volume = {25},
82     pages = {137-165},
83     year = {2012}
84 % A two-way communication interface (consisting of an underwater keyboard with visual
    and acoustic symbols indicating the objects that could be obtained and played with)
    was developped to study human-dolphin cooperative play behaviour. Pointing and
    triadic gaze between human participants was used to model the system in the presence
    of dolphins.

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85 % Dolphins are one of the few species that spontaneously understand gazing and
    pointing cues presented statically, but they need technologically and acoustically
    advanced tools both for their initiations and interactions, and humans need a real
    time acoustic interface to respond quickly. The dolphin's interest in engaging in
    "play" with humans and in observing human responses to their behavior may provide the
    foundation upon which to build a two-way interface system between humans and dolphins.
86 }
87 @Article{Buchanan13,
88     author = {Buchanan et al.},
89     title = {{Guidelines for the treatment of animals in behavioural research and
    teaching}},
90     journal = {Animal Behaviour},
91     volume = {85},
92     pages = {287-295},
93     year = {2013},
94 %
95 }
96 @Article{Andics14,
97     author = {Andics et al.},
98     title = {{Voice-Sensitive Regions in the Dog and Human Brain Are Revealed by
    Comparative fMRI}},
99     journal = {Current Biology},
100    volume = {},
101    pages = {},
102    year = {2014},
103    url = {http://dx.doi.org/10.1016/j.cub.2014.01.058}
104 % Dog and human vocalizations are familiar and relevant to both species. Voice areas
    exist in dogs and show a similar pattern to anterior temporal voice areas in humans.
    Sensitivity to vocal emotional valence cues engages similarly located nonprimary
    auditory regions in dogs and humans. The extraction of emotional information from
    voices is an important stage of the vocal emotion processing hierarchy and is
    supported by functionally analogous auditory brain regions near the primary auditory
    cortex in dogs and humans.
105 }
106 @Article{Graff14,
107     author = {Gräff, Johannes},
108     title = {{Epigenetic Priming of Memory Updating during Reconsolidation to
    Attenuate Remote Fear Memories}},
109     journal = {Cell},
110     volume = {156},
111     pages = {261-276},
112     year = {2014}
113 % Remote fear memories cannot be persistently attenuated by using reconsolidation-
    updating paradigms, because recalling remote memories is not salient enough to induce
    histone acetylation-mediated neuronal plasticity in the hippocampus. Using an HDAC2-
    targeting inhibitor (HDACi) during reconsolidation epigenetically primes the
    expression of neuroplasticity-related genes, which is accompanied by higher
    metabolic, synaptic, and structural plasticity. Thus, applying such inhibitors during
    memory reconsolidation might constitute a treatment option for remote traumata.
114 }
115 @Article{Herzing14,
116     author = {Herzing, Denise L.},
117     title = {{Profiling nonhuman intelligence: An exercise in developing unbiased
    tools for describing other types of intelligence on earth}},
118     journal = {Acta Astronautica},
119     volume = {94},
120     pages = {676-680},
121     year = {2014}
122 % Measures for nonhuman intelligence have included a variety of tools: (1) physical
    measurements – brain to body ratio, brain structure/convolution/neural density,
    presence of artifacts and physical tools, (2) observational and sensory measurements
    – sensory signals, complexity of signals, cross-modal abilities, social complexity,
    (3) data mining – information theory, signal/noise, pattern recognition, (4)
    experimentation – memory, cognition, language comprehension/use, theory of mind, (5)
    direct interfaces – one way and two way interfaces with primates, dolphins, birds
    and (6) accidental interactions – human/animal symbiosis, cross-species
    enculturation. COMPLEX (COMplexity of Markers for Profiling Life in EXobiology)

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offers a new approach to profile a variety of organisms along multiple dimensions
including EQ – Encephalization Quotient, CS – Communication Signal complexity, IC –
Individual Complexity, SC – Social Complexity and II – Interspecies Interaction.
123 }
124 @Article{Smith14,
125     author = {Smith, Reginald},
126     title = {{Complexity in animal communication: estimating the size of N-Gram
structures}},
127     journal = {Entropy},
128     volume = {16},
129     pages = {526-542},
130     year = {2014}
131 % Animal communication analyses through information theory have shown that animal
communication can have a complex structure that goes beyond random sounds. By using
the conditional entropy estimates at multiple orders, one can estimate the total
repertoire sizes for animal communication for an N-gram length of one to three. While
entropy does undercount the total repertoire size due to rare N-grams, it gives a
more accurate picture of the most frequently used repertoire than just repertoire
size alone. We may possibly look at the average, or most frequent, length of N-grams
of communication in animals to gauge the depth and complexity of their communications.
132 }
133
134 % =====
135 % Dossier "Bio-ingénierie" (34 articles)
136
137 @Article{Stemmer95,
138     author = {Stemmer et al.},
139     title = {{Single-step assembly of a gene and entire plasmid from large
numbers of oligodeoxyribonucleotides}},
140     journal = {Gene},
141     volume = {164},
142     pages = {49-53},
143     year = {1995}
144 % assembly PCR, derived from DNA shuffling, allows the single-step synthesis of long
DNA sequences (1 to 3 kbp from chemically synthesized 40 bp oligos in a single
reaction, > 10 kbp by reconstitution from 100-300 bp fragments obtained by nuclease
digestion of the sequence of interest) is well suited for several in vitro
mutagenesis strategies.
145 }
146 @Article{Chang99,
147     author = {Chang et al.},
148     title = {{Production of Transgenic Rats and Mice by the Testis-Mediated Gene
Transfer}},
149     journal = {Journal of Reproduction and Development},
150     volume = {45},
151     pages = {29-36},
152     year = {1999}
153 % Testis-Mediated Gene Transfer via a liposome-complex can generate transgenic
animals via sperm ejaculated. Transmission of the exogenous gene was confirmed up to
the F4 generation. Transmission rates for the first generation were respectively
3/17-2/36-3/31, but this technique can be perfected.
154 }
155 @Article{Johnson99,
156     author = {Johnson et al.},
157     title = {{The Beltsville Sperm Sexing Technology: High-Speed Sperm Sorting
Gives Improved Sperm Output for In Vitro Fertilization and AI}},
158     journal = {Journal of the Animal Society},
159     volume = {77},
160     pages = {213-220},
161     year = {1999},
162     number = {Suppl. 2}
163 % Beltsville sperm sexing technology is based on the flow-cytometric separation of X-
and Y-chromosome-bearing sperm treated with a DNA-binding fluorochrome. Skewed sex
ratios of 85 to 95% have been repeatably achieved, with an overall production rate
of 5-6 million sperm/h. High-speed sorting allows breeding in the conventional
manner, with no special training needed to conduct the insemination.}
164 }

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165 @Article{Willard01,
166     author = {Willard, Huntington F.},
167     title = {{Neocentromeres and human artificial chromosomes: an unnatural act}},
168     journal = {PNAS},
169     volume = {98},
170     pages = {5374-5376},
171     year = {2001}
172 % The centromere is responsible for attachment of the chromosome to the mitotic and
    meiotic spindle apparatus and thus for segregation of chromosomes to daughter cells
    during cell division. Neocentromeres appear to be indistinguishable from normal,
    alpha satellite-based centromeres in terms of the tromere and kichromatin and
    kinetochore complex that provides functional activity. But alpha satellite DNA can
    seed formation of de novo centromeres in artificial chromosomes (up to 50\% of clones
    tested) while other sequences cannot.
173 }
174 @Article{Metzgar04,
175     author = {Metzgar et al.},
176     title = {{Acinetobacter sp.ADP1: an ideal model organism for genetic analysis
    and genome engineering}},
177     journal = {Nucleic Acids Research},
178     volume = {32},
179     pages = {5780-5790},
180     year = {2004}
181 % cf. "Chromosomal replacement (marked deletion)" and "PCR, splicing PCR" sections
182 }
183 @Article{Ogle04,
184     author = {Ogle et al.},
185     title = {{Spontaneous fusion of cells between species yields
    transdifferentiation and retroviral transfer in vivo}},
186     journal = {The FASEB Journal},
187     year = {2004},
188     doi = {10.1096/fj.03-0962fje}
189 % Spontaneous fusion can occur in vivo between cells of disparate species and in the
    absence of disease. Such hybrid cells contain chromosomal DNA from both species, can
    divide and express proteins from each donor, and contain endogenous retroviral DNA
    sequences that can be transmitted to uninfected cells. In such case, the long-term
    persistence of cell hybrids could induce the generation of novel pathogens.
190 }
191 @Article{Smith05,
192     author = {Smith et al.},
193     title = {{Sperm-mediated gene transfer: applications and implications}},
194     journal = {BioEssays},
195     volume = {27},
196     pages = {551-562},
197     year = {2005}
198 % - SMGT can be used in animal transgenesis, most exogenous sequences transferred are
    inherited as extrachromosomal structures not integrated in the host genome and show a
    mosaic distribution in animals. Frequency of transgenesis can be up to 80\%, but only
    ~25\% of viable transgenic animals produced transmit the exogenous sequences to
    progeny. The highest ratio of genome integration is been obtained with transgenICSI
    and liposome-mediated gene transfer.
199 % - TMGT offer a transgene-sperm transfer rate of 60-70\% with 7.5\% of offspring
    expressing the sequence.
200 % - LB-SMGT (linker based sperm-mediated gene transfer) employs sperm surface-
    specific monoclonal antibody (mAb C) complexed with DNA. mAb C serves as a linker
    molecule to attach transgenes to the surface efficiently, with integration of the
    sequence in the offspring's genome. Transmission rate to the F1 generation is 37.5\%
    for pigs and 33\% for mice, with transmission to the F2 generation obtained for pigs.
201 }
202 @Article{Blake06,
203     author = {Blake et al.},
204     title = {{Phenotypic Consequences of Promoter Mediated Transcriptional
    Noise}},
205     journal = {Molecular Cell},
206     volume = {24},
207     pages = {853-865},
208     year = {2006}

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209 % pas forcement pertinent hors bactéries
210 }
211 @Article{Hibbitt05,
212     author = {Hibbitt et al.},
213     title = {{In Vivo Gene Transfer by Electroporation Allows Expression of a
Fluorescent Transgene in Hamster Testis and Epididymal Sperm and Has No Adverse
Effects upon Testicular Integrity or Sperm Quality}},
214     journal = {Biology of Reproduction},
215     volume = {74},
216     pages = {95-101},
217     year = {2006}
218 % Electroporation-assisted TMGT allows the expression of an exogenous sequence (in ~
10\% of all sperm) for as long as 60 days following gene transfer (which suggests
that the transgene may have integrated into the sperm genomic DNA, because the
hamster spermatogenic cycle is approximately 35 days) with no significant long-term
adverse effects on testicular integrity and sperm quality. Success might be
attributable to injecting the DNA directly into the rete testis rather than into the
testis or by intratubular injection.
219 }
220 @Article{Lavitrano06,
221     author = {Lavitrano et al.},
222     title = {{Sperm-mediated gene transfer}},
223     journal = {Reproduction, Fertility and Development},
224     volume = {18},
225     pages = {19-23},
226     year = {2006}
227 % Overall frequency of transgenic offspring using SMGT is in the range of 5–60\%
against 0.5–4\% using microinjection, while the cost for SMGT is <US$1000 against US\
$25000 for single transgenic expressor by microinjection. Up to three genes
(EGFP,EBFP,DsRed2 in example) can be transfered at once, with transgene expression in
88\% of blastocysts, 18/18 animals expressing >1 gene, 4/18 expressing 1 gene, 7/18
expressing 2, 7/18 expressing 3.
228 % A supplementray technique is restriction enzyme-mediated integration (REMI) where
sperm transfection is achieved with liposomes containing linearised plasmid molecules
having cohesive ends and the restriction enzyme used for the linearisation that
mediates significant genomic rearrangements. Electroporation allows the sperm cells
to take up more DNA molecules than normal, but does not yield a higher percentage of
'transfected' cells, increase the handling stress to sperm cells, and DNA-overloaded
sperm perform less efficiently compared with spermatozoa carrying an 'optimum'
quantity of DNA (500ng/10E+6sperm)
229 }
230 @Article{Manzini06,
231     author = {Manzini et al.},
232     title = {{Genetically modified pigs produced with a nonviral episomal
vector}},
233     journal = {PNAS},
234     volume = {103},
235     pages = {17672-17677},
236     year = {2006}
237 % Scaffold-matrix attachment region-based vectors are nonviral expression systems
that replicate autonomously in mammalian cells and can be used in SMGT. Episomality
of the plasmid prevents integration of the plasmid into the genome of sperm cells and
subsequently in the cells of the individuals. Production of GM progeny is as
efficient as with linearized plasmids. The high percentage of transgene-expressing
cells (all tissues analyzed in positive animals, with an average 79\% of transgene-
positive cells) and the absence of mosaicism render this technique safe and reliable.
238 }
239 @Article{Palffy06,
240     author = {Palffy et al.},
241     title = {{Bacteria in gene therapy: bactofection versus alternative gene
therapy}},
242     journal = {Gene Therapy},
243     volume = {13},
244     pages = {101-105},
245     year = {2006}
246 % Cf Table 1 and Figure 1. Bactofection: bacteria are used as a vehicle/vector to
transport the genetic information into the eukaryotic cell which express it.

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Alternative gene therapy: transformed bacteria produce the therapeutical polypeptide
in situ in the cells or in the intercellular space.
247 }
248 @PhDThesis{Epperly07,
249     title = {{Linker-based sperm mediated gene transfer method for the production
of transgenic rat}},
250     author = {Epperly,Jeannie M.},
251     year = {2009},
252     school = {The Graduate Faculty of the University of Akron}
253 % }
254 }
255 @Article{Humphris07,
256     author = {Elisabeth L. Humphris and Tanja Kortemme},
257     title = {{Design of Multi-Specificity in Protein Interfaces}},
258     journal = {PLoS Computational Biology},
259     volume = {3(8)},
260     pages = {e164},
261     year = {2007}
262 % Computational methods allow to design molecules with novel functions. A new
computational design procedure predicts protein sequences optimized to bind to a set
of targets, and provides a starting point to engineer designer molecules that could
modulate or replace naturally occurring protein interaction networks. Two distinct
patterns arise, a "shared" mode where all partners bind to only a subset of native
amino acid, and a "In the simplest case, all partners share key interactions, and a
"multi-faceted" or "network hub" mode where each partner prefers its own binding site
on the molecule.
263 }
264 @Article{Maeder08,
265     author = {Maeder et al.},
266     pages = {294-301},
267     title = {{Rapid Open-Source Engineering of Customized Zinc-Finger Nucleases
for Highly Efficient Gene Modification}},
268     journal = {Molecular Cell},
269     volume = {31},
270     year = {2008}
271 % Custom-made zinc-finger nucleases (ZFNs) can induce targeted genome modifications
with high efficiency. The OPEN (Oligomerized Pool ENgineering) method is a rapid and
publicly available strategy to generate highly specific engineered zinc-finger
nucleases, more effectively than current modular assembly approaches. OPEN selections
are performed in E. coli and do not require specialized equipment.
272 % However, using ZFNs to modify genes has limitations : First, not all zinc-finger
arrays that possess sequence-specific DNA-binding activities will function as ZFNs in
cells. Second, although the use of vinblastine increased the frequency of gene
targeting, DNA sequencing reveals that many alleles still underwent insertions or
deletions caused by error-prone NHEJ and that some alleles underwent both a gene
targeting event and an insertion. DNA sequencing should always be performed to verify
ZFN-induced gene targeting events.
273 }
274 @Article{Shao09,
275     author = {Shao et al.},
276     title = {{DNA assembler, an in vivo genetic method for rapid construction of
biochemical pathways}},
277     journal = {Nucleic Acids Research},
278     volume = {37},
279     pages = {e16},
280     year = {2009},
281     doi = {10.1093/nar/gkn991}
282 % A new method called DNA assembler allows the assembly of an entire biochemical
pathway (up to 19 kb with 8 genes)with high efficiencies (70–100\%) either on a
plasmid or on a yeast chromosome. The assembly can be done in a single step, via
simple DNA preparation and in vivo homologous recombination in yeast. Conceptually, a
long sequence can be split into several segments that will be sequentially integrated
into the chromosome by using a recyclable selection marker. Once a segment is
integrated, 5-fluoroorotic acid can be used to remove the marker so that another
segment can be integrated subsequently. A sequence of 30-50 genes (around 100-200 kb)
could be integrated to the yeast chromosome within several weeks, but high-fidelity
polymerases like Phusion (with a error rate at 4.4E-7) should be used to greatly

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reduce the mutation frequency.
283 }
284 @Article{Jang10,
285     author = {Jang et al.},
286     title = {{Current status and applications of somatic cell nuclear transfer in
dogs}},
287     journal = {Theriogenology},
288     volume = {74},
289     pages = {1311-1320},
290     year = {2010}
291 % SCNT research can help us to expand or select genetic high performance in dogs, but
its use has advanced only slowly. The main causes are the very low efficiency of dog
oocyte maturation in vitro (0-25\% compared to 70-80\%) and the problematic fusion of
the reconstructed oocytes (3.3-4.0 kV/cm is necessary compared to 1.5-2.0 kV/cm). In
addition, non-surgical access to the uterus for artificial insemination or embryo
transfer is technically difficult and requires expensive laparoscopic equipment. Dog
oocytes are ovulated at the germinal vesicle (immature) stage and require 48-72 h in
the oviducts for final maturation to metaphase II.
292 }
293 @Article{Brunstein11,
294     author = {John Brunstein},
295     title = {{The quest for the \$500 home molecular biology laboratory}},
296     journal = {Medical Laboratory Observer},
297     month = {December},
298     pages = {26-29},
299     year = {2011},
300     url = {http://www.mlo-online.com/articles/201112/the-quest-for-the-500-home-
molecular-biology-laboratory.php}
301 % The challenge was to assemble a home molecular biology laboratory with (1) \$500 of
equipment budget, reagents and consumables not included. (2) little or no requirement
to build or adapt devices (3) the ability to run multiple sample types for a range of
assays, with sensitivity and speed generally comparable to a "real" academic lab. (4)
reagents and supplies safe for home use (5) everything obtained through channels
available to the average person. The quest was successful, thanks to the widespread
and increasing availability of second-hand professional laboratory equipment or
inexpensive new commercial surrogates means.
302 }
303 @Article{Izmiryan11,
304     author = {Izmiryan et al.},
305     title = {{Efficient gene targeting mediated by a lentiviral vector-associated
meganuclease}},
306     journal = {Nucleic Acids Research},
307     pages = {1-10},
308     year = {2011},
309     doi = {10.1093/nar/gkr524}
310 % Gene targeting can be achieved with lentiviral vectors delivering donor sequences
along with a nuclease that creates a locus-specific double-strand break. The nuclease
can be transferred into cells as a protein associated with a lentiviral vector
particle. Delivery of the meganuclease as a protein leads to gene targeting with a
frequency of recombination 2-fold higher (and a potentially safer approach) than with
the nuclease encoded by a separate vector.
311 }
312 @Article{Kazuki11,
313     author = {Kazuki et al.},
314     pages = {384-393},
315     title = {{Refined human artificial chromosome vectors for gene therapy and
animal transgenesis}},
316     journal = {Gene Therapy},
317     volume = {18},
318     year = {2011}
319 % Human artificial chromosomes have a stable episomal maintenance and the ability to
carry large gene inserts, but remaining endogenous genes limit their therapeutic
applications. A HAC vector without endogenous genes can be refined from human
chromosome 21 in homologous recombination-proficient chicken DT40 cells. Any desired
gene can be cloned into the HAC by a combination of Cre-loxP-mediated chromosome
translocation and telomere-directed truncation. The HAC can be efficiently
transferred via microcell-mediated chromosome transfer (MMCT) and stably maintained

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in vitro and in vivo. If a HAC carries the suicide gene herpes simplex virus
thymidine kinase (HSV-TK), cells containing it can be selectively killed by
ganciclovir.
320 }
321 @Article{Jinek12,
322     author = {Jinek et al.},
323     title = {{A Programmable Dual RNA-Guided DNA Endonuclease}},
324     journal = {Science},
325     volume = {337},
326     pages = {816-821},
327     year = {2012}
328 % CRISPR-Cas systems constitute a DNA interference mechanism that directs a Cas9
endonuclease to introduce site-specific double-stranded breaks in target DNA. This
endonuclease can be programmed with a DNA target-binding sequence in a chimeric
guide RNA to target and cleave any dsDNA sequence of interest. The system is
efficient, versatile, and could complete Zinc-finger nucleases (ZFN) and
transcription-activator-like effector nucleases (TALEN) as artificial enzymes
engineered to manipulate genomes.
329 }
330 @Article{Koga12,
331     author = {Koga et al.},
332     title = {{Principles for designing ideal protein structures}},
333     journal = {Nature},
334     volume = {491},
335     pages = {222-227},
336     year = {2012}
337 % A new approach, based on a set of rules relating secondary structure patterns to
protein tertiary motifs, was developed to design ideal protein structures stabilized
by completely consistent local and non-local interactions. This makes possible the
optimisation of protein folding energy landscapes leading into the wanted target
folded state and provides the foundation for engineering a new generation of
functional proteins free from natural evolution.
338 }
339 @Article{Llosa12,
340     author = {Llosa et al.},
341     title = {{New perspectives into bacterial DNA transfer to human cells}},
342     journal = {Trends in Microbiology},
343     volume = {20},
344     pages = {355-359},
345     year = {2012}
346 % The Type IV secretion system (T4SS) present in several intracellular pathogenic
bacteria can be used to transfer 100-1000 kbp of DNA (genes + regulatory sequences)
into specific cell types, along a variety of proteins (site-specific integrase)
leading to efficient chromosomal integration. The fact that assisting proteins can be
transferred with the DNA from the bacteria, in place of expressing them in the
recipient cell, minimizes toxicity problems. Because each pathogen targets different
cellular types, tropism could be acquired by selecting the appropriate T4SS-encoding
bacterium depending on the tissue to be targeted.
347 }
348 @Article{Sanjana12,
349     author = {Sanjana et al.},
350     pages = {171-192},
351     title = {{A transcription activator-like effector toolbox for genome
engineering}},
352     journal = {Nature Protocols},
353     volume = {7},
354     year = {2012}
355 % Transcription activator-like effectors (TALE) are DNA-binding proteins with a
binding domain consisting of tandem 34-amino acid repeat modules that can be
rearranged to target new DNA sequences. A method was established for rapid
construction (< 1 week) of custom transcription factors and nucleases using a
hierarchical ligation procedure. There are a few limitations with this technology :
different TALEs designed according to the same cipher act with different levels of
activity on the same target site, and engineered TALEs can have off-target effects
(binding unintended genomic loci) which can be difficult to detect without additional
functional assays at these loci.

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356 }
357 @Article{Vajta12,
358     author = {Vajta et al.},
359     title = {{Establishment of an efficient somatic cell nuclear transfer system
for production of transgenic pigs}},
360     journal = {Theriogenology},
361     volume = {77},
362     pages = {1263-1274},
363     year = {2012}
364 % Handmade cloning (HMC) is a SCNT procedure that does not require expensive and
delicate micromanipulators and constitute a competitive, inexpensive and reliable
alternative to traditional cloning techniques. The task can be performed by a small
"core team" of 7-9 researchers and technicians.
365 }
366 @Article{Cong13,
367     author = {Cong et al.},
368     title = {{Multiplex Genome Engineering Using CRISPR-Cas Systems}},
369     journal = {Science},
370     volume = {339},
371     pages = {819-823},
372     year = {2013}
373 % Multiple RNAs guide sequences (to induce site-specific DNA cleavage) can be encoded
into a single CRISPR array to enable simultaneous editing of several sites within the
mammalian genome, with easy programmability and wide applicability.
374 }
375 @Article{Jakobsen13,
376     author = {Jakobsen et al.},
377     title = {{Generation of minipigs with targeted transgene insertion by
recombinase-mediated cassette exchange (RMCE) and somatic cell nuclear transfer
(SCNT)}},
378     journal = {Transgenic Research},
379     volume = {22},
380     pages = {709-723},
381     year = {2013}
382 % Recombinase-mediated cassette exchange (RMCE) is a method allowing targeted
integration of a transgene in a pre-selected transcriptionally active genomic site.
Using minicircles in RMCE removes the requirement for a negative selection marker, as
random integration of minicircles will separate the positive selection marker from
the promoter, disrupt expression of the marker and kill the non-RMCE cells.
383 }
384 @Article{Jinek13,
385     author = {Jinek et al.},
386     title = {{RNA-programmed genome editing in human cells}},
387     journal = {eLife},
388     volume = {2},
389     pages = {e00471},
390     year = {2013},
391     doi = {10.7554/eLife.00471}
392 % RNA-programmed genome editing is a facile strategy for introducing site-specific
double-strand DNA breaks in human cells. RNA expression and/or assembly into Cas9 is
the limiting factor for Cas9-mediated DNA cleavage, but extension of the RNA sequence
at the 3' end enhances DNA targeting activity in vivo.
393 }
394 @article{Kamimura13,
395     author = {Kamimura et al.},
396     title = {Mouse Cloning Using a Drop of Peripheral Blood},
397     journal = {Biology of Reproduction},
398     volume = {89},
399     number = {2},
400     pages = {24-30},
401     year = {2013},
402     doi = {10.1095/biolreprod.113.110098}
403 % A drop of peripheral blood (15-45 µl) can be enough to succesfully clone an animal,
even if infertile or "last-of-line". Using leukocytes, granulocytes/monocytes and
lymphocytes nuclei lead to a birth rate of 2.8%, and 2.1% and 1.7% repectively.
The use of lymphocyte nuclei inevitably results in the birth of offspring with DNA
rearrangements.

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404 }
405 @Article{Uno13,
406     author = {Uno et al.},
407     title = {{The transfer of human artificial chromosomes via cryopreserved
microcells}},
408     journal = {Cytotechnology},
409     volume = {65},
410     pages = {803-809},
411     year = {2013}
412 % Microcell-mediated chromosome transfer (MMCT) works with a single chromosome or
megabase-sized fragments, but must be performed immediately after the purification of
microcells in the conventional method. A protocol for cryopreservation storage of
microcells at -80°C has been established and shows no significant difference
regarding chromosome transfer efficiency and retention rate of HAC.
413 }
414 @Article{Wakayama13,
415     author = {Wakayama et al.},
416     title = {{Successful Serial Recloning in the Mouse over Multiple
Generations}},
417     journal = {Cell Stem Cell},
418     volume = {12},
419     pages = {293-297},
420     year = {2013}
421 % Serial cloning generally shows a decrease in efficiency over repeated iterations
and a failure after a few generations. However, reliable repeated recloning through
SCNT can be achieved by using a histone deacetylase inhibitor (trichostatin A) with
no decrease - but no increase - of the cloning efficiency over 25 generations.
422 }
423 @Article{Arav14,
424     author = {A. Arav},
425     title = {{Cryopreservation of oocytes and embryos}},
426     journal = {Theriogenology},
427     volume = {81},
428     pages = {96-102},
429     year = {2014}
430 % Standardization of cryopreservation techniques and an automatic embryo
vittrification procedure are currently under development. The next evolutionary step
will be preserving them in the dry state at room temperature, allowing home storage
for future use a reality.
431 }
432
433 % =====
434 % Dossier "Droit-éthique" (19 articles)
435
436 @Article{Kolber01,
437     author = {Adam Kolber},
438     title = {{Standing Upright: The Moral and Legal Standing of Humans and Other
Apes}},
439     journal = {Stanford Law Review},
440     volume = {54},
441     pages = {163-204},
442     year = {2001}
443 % This policy proposal restricts the discussion of great ape personhood to a much
less ambitious legal issue in the law of standing, more specifically the argument
that great apes should be granted standing to bring lawsuits, through a human
guardian, under the Animal Welfare Act (AWA). It does not require us to accept
arguments about ape personhood but merely requires recognition of certain obligations
to protect animal interests.
444 }
445
446 % 2003_Ankeny_The Interplay between Morality and Science in Debates over Embryonic
Chimeras.pdf
447 % 2003_Castle_Hopes against Hopeful Monsters.pdf
448 % 2003_Chakrabarty_Crossing Species Boundaries and Making Human-Nonhuman Hybrids
Moral and Legal Ramifications.pdf
449 % 2003_Glenn_A Legal Perspective on Humanity Personhood and Species Boundaries.pdf
450 % 2003_Greely_Defining Chimeras and Chimeric Concerns.pdf

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451 % 2003_Karpowicz_In Defense of Stem Cell Chimeras A Response to Crossing Species
    Boundaries.pdf
452 % 2003_Resnik_Patents on Human-Animal Chimeras and Threats to Human Dignity.pdf
453 % 2003_Robert_Crossing Species Boundaries.pdf
454 % 2003_Robertson_A Response to Crossing Species Boundaries.pdf
455 % 2003_Rollin_Ethics and Species Integrity.pdf
456 % 2003_Sagoff_Transgenic Chimeras.pdf
457
458 @Article{Savulescu03,
459     author = {Julian Savulescu},
460     title = {{Human-Animal Transgenesis and Chimeras Might Be an Expression of
    Our Humanity}},
461     journal = {American Journal of Bioethics},
462     volume = {3},
463     number = {3},
464     pages = {22-25},
465     year = {2003}
466 % Based on a utilitarian viewpoint, the creation of chimeras and uplifts could help
    humanity in terms of fundamental or translational medicine, while making a human-
    animal chimera is much less controversial when done for medical purposes than for
    entertainment or art. One necessary but not sufficient condition of humanity is the
    capacity to act on normative reasons and display practical rationality. When we act
    according to what we have good reasons to do, we express our humanity. Only genetic
    modifications that decrease reasoning capabilities or undermines the expression of
    our humanity constitute a threat. We should not base social policy and law on moral
    confusions, especially when they can harm people.
467 }
468
469 % 2003_Siegel_The Moral Insignificance of Crossing Species Boundaries.pdf
470 % 2003_Streiffer_In Defense of the Moral Relevance of Species Boundaries.pdf
471 % 2003_Thompson_Crossing Species Boundaries Is Even More Controversial than You
    Think.pdf
472
473 @PhDThesis{Bourzac04,
474     author = {Katherine Anne Bourzac},
475     title = {{Across the Great Divide: Chimeras and species boundaries}},
476     year = {2004},
477     school = {Massachusetts Institute of Technology},
478 %
479 }
480 @Article{Bennett06,
481     author = {Scott Bennett},
482     title = {{Chimera and the continuum of humanity: erasing the line of
    constitutional personhood}},
483     journal = {Emory Law Journal},
484     volume = {55},
485     pages = {347-387},
486     year = {2006}
487 % this Comment provides a framework for determining if and when the U.S. Constitution
    and the rights it confers should even be applicable to chimera and chimera research.
    Varying levels of constitutional protection should be afforded to chimera based on a
    two-dimensional sliding scale approach, which is not unheard of: the Supreme Court
    already grants less than full constitutional protection to children, prisoners, and
    noncitizens. The indicators of constitutional personhood should be the capacity for
    higher-level human cognition and the percentage of human tissue. Four loose
    categories could be applied: (1) high/high like humans with xenotransplants => full
    rights (2) none/low like spider goats => no additional rights (3) medium/medium like
    human-chimpanzee chimera => full rights unless not sapient enough (4) low/high like
    xenotransplant pigs => limited rights.
488 }
489 @Article{Broom06,
490     author = {Donald Maurice Broom},
491     title = {{The evolution of morality}},
492     journal = {Applied Animal Behaviour Science},
493     volume = {100},
494     pages = {20-28},
495     year = {2006}

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496 % The success of complex animal societies depends on minimizing harm and
collaboration. In order to promote this, a moral structure inevitably develops (cf.
table 1) hence morality has evolved in humans and in many other species. A sentient
being is one that has some ability: to evaluate the actions of others in relation to
itself and third parties, to remember some of its own actions and their consequences,
to assess risk, to have some feelings and to have some degree of awareness. Amongst
sentient animals, coping with adversity may be more difficult in those with less
sophisticated brain processing, because more complex brains could allow more
possibilities for pleasure which contributes greatly to good welfare. A utilitarian
approach is not sufficient to determine all obligations, however, and a deontological
approach is also needed because there are some degrees of poor welfare which are
never justified by benefit to others.
497 }
498 @Article{Degrazia07,
499     author = {David Degrazia},
500     title = {{Human-Animal Chimeras: Human Dignity, Moral Status, And Species
Prejudice}},
501     journal = {MetaPhilosophy},
502     volume = {38},
503     pages = {309-329},
504     year = {2007}
505 % Person = kind of being picked out by certain psychological traits or capacities,
with complex forms of consciousness such as self-awareness over time, sociability,
and rationality. Language training is important both because linguistic competence is
a criterion associated with personhood and because language seems to extend a being's
conceptual reach and, with it, certain other cognitive capacities.
506 % - Unequal Consideration Model : it is generally worse to kill persons than animals
because the former are due full moral consideration whereas the latter are due some
but less consideration. This model is a gradualist sliding-scale, according to which
sentient nonpersons deserve consideration in proportion to their cognitive,
emotional, and social complexity.
507 % - Unequal Interests Model : it is generally worse to kill persons than animals
because the equal consideration to which persons and animals are entitled gives equal
moral weight ONLY to comparable interests. This model holds that all sentient beings
deserve equal consideration, but that significant prudential differences between
persons and sentient nonpersons justify attributions of unequal moral status.
508 % The reation of human-ape chimera or hybrids should be prohibited because the
apes' (beings with full moral status) interests would be subordinated to human social
utility and harmed without compensating benefit. However, there is no intelligible
reason that increasing the number of individuals with full moral status would
threaten the moral status of Homo sapiens persons or human dignity any more than the
constant increase in our species' population.
509 }
510 @Article{Rai07,
511     author = {Rai et al.},
512     title = {{Synthetic Biology: Caught between Property Rights, the Public
Domain, and the Commons}},
513     journal = {PLoS Biology},
514     volume = {5},
515     number = {3},
516     pages = {e58},
517     year = {2007}
518 % The MIT scientists involved with the Registry of Standard Biological Parts have
created the BioBricks Foundation, which might serve to coordinate a synthetic biology
"commons" drawing inspiration from the open source software model. The Biological
Innovation for an Open Society (BIOS) group is using patent protection on a few key
plant gene transfer technologies to force licensees to put improvements to those
technologies into the commons.}
519 }
520 @Article{Allhoff08,
521     author = {Fritz Allhoff},
522     title = {{Germ-Line Genetic Enhancement and Rawlsian Primary Goods}},
523     journal = {Journal of Evolution and Technology},
524     volume = {18},
525     pages = {10-26},
526     year = {2008}
527 % Using quasi-statistical concepts of 'normality', one can argue that any

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intervention designed to restore or preserve a species-typical level of functioning for an individual count as therapy, whereas giving individuals capabilities beyond the range of normal variation constitute enhancement. Several arguments have been presented against genetic intervention (unjust outcomes due to unequal distribution, enhanced accomplishments less laudable, failure to accept our place in nature) but can be rejected as unrelated to the enhancement itself or only contingently valid given scientific limitations.

528 % Primary goods are things that every rational person should value, regardless of his conception of the good or his life goals (rights, liberties, opportunities, wealth, health, intelligence, imagination...) and that it would be irrational to turn them down when offered. Certain forms of germ-line enhancements can be morally permissible if and only if they augment Rawlsian primary goods, either directly or by facilitating their acquisition.}

529 }

530 @Article{Cooley08,

531 author = {Dennis R. Cooley},

532 title = {{Genetically Engineering Human-Animal Chimeras and Lives Worth Living}},

533 journal = {Between The Species},

534 volume = {13},

535 number = {8},

536 pages = {1-19},

537 year = {2008}

538 % Work on lives worth living for human beings (following an utilitarian and essentialist framework in regards to personal identity) can provide valuable insight into the morality of creating chimeras. The benefit of one action should be compared to the benefits accrued through alternative acts to determine if an agent is actually benefitted by the original action. Causing someone to exist is a special case because the alternative would not have been worse for this person, so it cannot be better for this person but it may be good. Since it is impossible to injure something that never exists, it would not be better for chimeras to never have existed rather than being subject to exploitation. As long as their lives are overall worth living, bringing them into existence does not harm them even if they are used for medical research or procedures, or they are created to carry on the homo sapiens' "family" line. See Eric Sotnak's "Nonhuman Chimeras with Human Brain Cells."

539 }

540 @Article{Dvorsky08,

541 author = {George Dvorsky},

542 title = {{Developmental and ethical considerations for biologically uplifting nonhuman animals}},

543 journal = {Journal of Evolution and Technology},

544 volume = {18},

545 pages = {129-142},

546 year = {2008}

547 % Civilizational progress necessarily implies increasing levels of organization and refinement across all realms of activity, so the status of nonhuman species will eventually come under the purview of guided intelligence rather than autonomous processes. Given the animal rights movement's goal to increase the moral circle to include higher animals, and given the strong scientific case in favour of animal personhood, it would be unethical, negligent and even hypocritical of humans to enhance only themselves and ignore the larger community of sapient nonhuman animals that is left behind to fend for itself. Efforts could be made to endow nonhuman animals with the requisite cognitive and social skills that will enable individual and group self-determination, and allow participation in the larger social politic that includes all sentient life.

548 % Applying Rawlsian moral frameworks to the acknowledgement of legally recognized nonhuman persons, the presence of uplift biotechnologies will represent a new primary good and thus necessitate the inclusion of highly sapient nonhumans into the human society. Interventions designed to deliberately constrain a sentient organism such that it is incapable of empowered participation in the broader social community is grossly unethical and should be considered illegal. The ultimate goal of animal uplift is the creation of equal social partners and not a species to be subjugated.

549 % The Rawlsian notion of original position (individuals decide principles of justice from behind a veil of ignorance, adopting a risk-minimizing strategy that would maximize the position of the least well off) can answer the question of whether or not there is consent to uplift. Considering that nonhumans are completely shut-out from the social contract and carry negligible social standing, they should be

considered among the most least-advantaged. The prospect of coming into the world as a great ape, elephant or dolphin in the midst of an advanced human civilization can be reasonably construed as a worst outcome. Therefore, humanity can assume that it has the consent of sapient nonhumans to biologically uplift them.

550 % The idea that nonhumans should be uplifted so that they more closely resemble Homo sapiens has been interpreted as a rather anthropocentric perspective. As already stated, the goal is not to transmutate animals in humans, but to improve their quality of life by endowing them with improved modes of functioning and increased health.

551 % The Great Ape Trust in Iowa is engaging in a multi-generational cultural uplift experiment, where the use of lexigrams and touch-sensitive computer allow to overcome biological limitations in matters of vocal production. However, bonobo psychology is intractably limited with their inability to consider abstract concepts such as past or future, to grasp syntax, and to display active teaching behaviours.

552 }

553 @Article{Etkind08,

554 author = {Alexander Etkind},

555 title = {{Beyond eugenics the forgotten scandal of hybridizing humans and apes}},

556 journal = {Studies in History and Philosophy of Biological and Biomedical Sciences},

557 volume = {39},

558 pages = {205-210},

559 year = {2008}

560 % Three hypothetical reasons can be advanced for Ivanov's attempt at hybridization between humans and apes : (1) it would support the atheist propaganda of the Bolsheviks in favour of evolution (2) it would bring help bring apes to Russia, which were necessary for the rejuvenation programs fashionable among the Bolshevik elite (3) it would pave the way to the New Socialist Man whose 'construction by scientific means' as the official purpose of the Bolsheviks. In addition, Ivanov and Sergei Voronov transplanted a woman's ovary into a female chimp and inseminated her with human sperm, but the ape died.(Shishkin, 2003, p. 172)

561 % The American Association for the Advancement of Atheism asserted that the objective of Ivanov's experiments in Africa was to accomplish 'artificial insemination of the human and anthropoid species, to support the doctrine of evolution, by establishing close kinship between man and the higher apes'. But it developed an essentially new, racist version of the hybridization project partially based on Hermann Klaatsch's 'polyphyletic' theory that human races derive from different species of apes so that hybrids between humans and apes can be produced and would be fertile.

562 }

563 @Article{Sherringham08,

564 author = {Tia Sherringham},

565 title = {{Mice, Men, and Monsters: Opposition to Chimera Research and the Scope of Federal Regulation}},

566 journal = {California Law Review},

567 volume = {96},

568 pages = {765-800},

569 year = {2008}

570 % The most ethically questionable research concerning chimera involves implanting stem cells derived from the human brain or eye into animal embryos, because people become most uncomfortable when the boundaries between what is human and what is animal are blurred. According to some ethicists, an instinctive queasiness or repugnance ("Yuck factor") provide sufficient basis to conclude that chimera research is morally unacceptable. However, emotions are a questionable basis for moral decision making if there is no articulation of the underlying emotion and therefore a difficulty to persuade others to share his sentiment.

571 % Two objections based by animal welfare are : (1) human neural cells may confer increased mental aptitude to the animal subjects and lead to greater capacities to experience pain (2) current conditions may be inadequate to protect chimeras if they can experience human-like pain. But the state of the science does not require more than a case-by-case analysis and the existing regulatory system has jurisdiction to oversee chimera technology.

572 % The Human Chimera Prohibition Act of 2005 (not adopted) forth three reasons to prohibit the creation of chimeras : (1) to prohibit the most "ethically challenging human-animal hybrids" (2) to avoid the emerging threat of animal-to-human disease infection (3) to prohibit science that compromises human dignity by blurring the lines between animals and humans. The legislation purports to ban eight categories :

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(a) human embryos that are not fully human, (b) human eggs fertilized with animal
sperm, (c) animal eggs fertilized with human sperm, (d) human eggs with an animal
nucleus, (e) animal eggs with a human nucleus, (f) eggs with both human and animal
chromosomes, (g) animals with human reproductive organs, and (h) animals with human
brains.
573 }
574 @Article{Bostrom09,
575     author = {Nick Bostrom and Anders Sandberg},
576     title = {{Cognitive enhancement: Methods, Ethics, Regulatory challenges}},
577     journal = {Sci. Eng. Ethics},
578     volume = {15},
579     pages = {311-341},
580     year = {2009}
581 % Cognitive enhancement technologies raise a range of ethical issues and create
challenges for public policy and regulation. Listed objections are safety, the
purpose of medicine, procreative choice and eugenics, authenticity of achievements,
playing god and the status quo, cheating and positional goods, inequality.
582 % Modified mice to produce more NR2B subunits of the NMDA receptor (which is
gradually replaced by NR2A subunits during animal maturation and might be linked to
lower brain plasticity) showed improved memory performance, in terms of both
acquisition and retention, including unlearning of fear conditioning. But the
modification made them more sensitive to certain forms of pain, suggesting a non-
trivial trade-off between two potential enhancement goals. Increased amounts of the
signal transduction protein adenylyl cyclase also produced improvements in
recognition memory, but not improved context or cue learning.
583 % Administration of choline supplements and long chained fatty acids to pregnant and
postpartum mothers has also been shown to improve cognitive performance, apparently
as a result of changes in neural development.
584 }
585 @PhDThesis{Huther09,
586     title = {{Chimeras: The Ethics of Creating Human-Animal Interspecifics}},
587     author = {Constanze Huther},
588     year = {2009},
589     school = {Ludwig-Maximilians-Universität (Munich)}
590 %
591 }
592 @Article{Samuel09,
593     author = {Samuel et al.},
594     title = {{Regulatory responses to the challenges posed by synthetic biology
and synthetic genomics}},
595     journal = {EMBO Reports},
596     volume = {10},
597     pages = {7-11},
598     year = {2009}
599 % Progress in science and technology often outpaces the relevant ethical, legal and
moral discourse and regulation, which can create suspicion and backlash from the
public. To avoid this, it is imperative that the ethical and regulatory issues
surrounding synthetic genomics and synthetic biology are identified, analysed and
addressed sooner rather than later. More education and awareness, both within the
scientific community and in the public sphere, could help to prevent a backlash of
public opinion in the future. Prohibition would inhibit intellectual inquiry and
scientific freedom, and would prevent any possible benefits from synthetic genomics
and synthetic biology being realized.
600 }
601 @Article{Coors10,
602     author = {Coors et al.},
603     title = {{The Ethics of Using Transgenic Non Human Primates to Study What
Makes Us Human}},
604     journal = {Nat Rev Genet.},
605     volume = {11},
606     pages = {658-662},
607     year = {2010}
608 % An ongoing flood of comparative genomic data is identifying human lineage specific
(HLS) sequences of unknown function, and there is strong interest in investigating
their functional effects. Pursuing transgenic research on HLS sequences could provide
important information on what makes members of Homo sapiens different from apes, and
also produce clinical benefits given that HLS variants have been found to be

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disproportionately enriched in genomic locations implicated in human diseases. But biomolecular systems are interdependent and most genes have multiple seemingly unrelated functions, so a transfer could have a wide array of unexpected and/or deleterious effects.

609 % A transgenic chimp with mixed features is likely to be ill-suited for both the human and chimp worlds, unable to socialize successfully with either species. At the far end of the spectrum are cognitive changes that might give transgenic apes enough selfawareness to appreciate the ways their lives are circumscribed and to suffer psychological harm. These uncertainties raise the risk of producing unanticipated harm to transgenic NHPs and render the conduct of this research ethically unacceptable in apes.

610 }

611 @TechReport{Ipsos10,
612 author = {Castell et al.},
613 title = {{Exploring the Boundaries: Report on a public dialogue into Animals Containing Human Material}},
614 institution = {Ipsos MORI},
615 month = {September},
616 year = {2010}
617 %
618 }

619 @TechReport{AMS11,
620 author = {Bobrow et al.},
621 title = {{Animals containing human material}},
622 institution = {The Academy of Medical Sciences},
623 year = {2011}
624 %
625 }

626 @Article{Wisell,
627 author = {Steven M. Wise},
628 title = {{Legal personhood and the Nonhuman Rights Project}},
629 journal = {Animal Law},
630 volume = {17},
631 year = {2011},
632 url = {https://www.lclark.edu/live/files/8137-171-wise}
633 % Legal things, living and inanimate, exist in law solely for the sakes of legal persons. Only legal persons can be legally seen, for only they exist in law for their own benefits. A possible "Animal Rights Pyramid" has four levels : (1) Legal personhood (2) Possession of legal rights, among them may be the power to sue and bodily integrity/liberty (3) Private right of action bestowed by statute/constitution/treaty/common law and exercised in person or by proxy (4) Legal Standing, i.e. a sufficient stake in an otherwise justiciable controversy to obtain judicial resolution of that controversy. If an animal have not yet been declared to be Level 1 legal persons, they have no Level 2 legal rights, and lack the Level 3 capacity to sue, therefore the question of Level 4 standing should not be discussed.

634 % Currently all humans are legal persons while all nonhuman animals are legal things. The goal of the interdisciplinary Nonhuman Rights Project is to change this paradigm. For example, might the ancient procedure of manumission, by which a master could free his slave through his private action, apply to any nonhuman animal ?

635 }

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637 % =====

638 % Dossier "Évolution cérébrale" (43 articles)

639

640 @Article{Hof01,
641 author = {Hof et al.},
642 title = {{An unusual population of pyramidal neurons in the anterior cingulate cortex of hominids contains the calcium-binding protein calretinin}},
643 journal = {Neuroscience Letters},
644 volume = {307},
645 pages = {139-142},
646 year = {2001}
647 % an unusual population of pyramidal neurons has been found with the following features :
648 % - restricted to the superficial part of layer V in the anterior cingulate cortex
649 % - characterized by immunoreactivity to the calcium-binding protein calretinin
650 % - rare in orangutans, numerous in gorillas, common in chimps, most frequent in

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humans
651 % - not observed in any other primate or mammalian species
652 % The appearance of these neurons may reflect the recent evolution of this cortical
    area in the only mammalian lineage to have developed articulated language and its
    emotional implications.
653 }
654 @Article{Kingsbury03,
655     author = {Kingsbury et al.},
656     title = {{Non-proliferative effects of lysophosphatidic acid enhance cortical
growth and folding}},
657     journal = {Nature Neuroscience},
658     volume = {6},
659     pages = {1292-1299},
660     year = {2003}
661 % Lysophosphatidic acid (LPA) has extracellular signaling properties mediated by two
G protein-coupled receptors, LPA1 and LPA2, that are expressed in the embryonic
cerebral cortex. Intact cerebral cortices exposed to extracellular LPA ex vivo
rapidly increased in thickness by 30% and produced folds resembling gyri in up to 85%
of cases. Growth was not due to increased proliferation but rather to receptor-
dependent reduced cell death and increased terminal mitosis of neural progenitor
cells.
662 }
663 @Article{Evans04,
664     author = {Evans et al.},
665     title = {{Adaptive evolution of ASPM, a major determinant of cerebral
cortical size in humans}},
666     journal = {Human Molecular Genetics},
667     volume = {13},
668     pages = {489-494},
669     year = {2004}
670 % The Abnormal SPindle-like Microcephaly associated gene underwent an intense
positive selection and strong adaptive evolution (advantageous amino acid changes at
1/300-400kA since 5-6Mya) since the human lineage diverged, which is consistent with
its putative role in the evolutionary enlargement of the human brain.
671 % There is currently no direct data on the biochemical function of ASPM in mammals,
but the drosophile homolog have shown a critical function in organizing the mitotic
and meiotic spindle structures. Regulation of the proportions of symmetric versus
asymmetric cell divisions in the proliferating neuroepithelium has a profound impact
on the final size of the cerebral cortex, with higher proportions of symmetric
divisions corresponding to larger cortex.
672 }
673 @Article{Price04,
674     author = {David J. Price},
675     title = {{Lipids make smooth brains gyrate}},
676     journal = {TRENDS in Neurosciences},
677     volume = {27},
678     pages = {362-363},
679     year = {2004}
680 % Unpdte to Kingsbury03 : phospholipids are potential contributors to the control of
cerebral cortical size. The hypothesis is that mechanisms intrinsic to the cortical
sheet itself generate complex patterns of sulci and gyri in response to an increase
in cortical cell number.
681 }
682 @Article{Stedman04,
683     author = {Stedman et al.},
684     title = {{Myosin gene mutation correlates with anatomical changes in the
human lineage}},
685     journal = {Nature},
686     volume = {428},
687     pages = {415-418},
688     year = {2001}
689 % The masticatory muscles of humans are considerably smaller than those of apes, and
underwent gracilization nearly simultaneously with accelerated encephalization in
early Homo. The myosin heavy chain (MYH16) gene expressed in these muscles was
inactivated by a frameshifting mutation that appeared ~2.4 Mya, according to the
molecular clock based on the coding sequence for the myosin rod domains.
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691 @Article{Herculano05,
692     author = {Herculano-Houzel et al.},
693     title = {{Isotropic Fractionator: A Simple, Rapid Method for the
Quantification of Total Cell and Neuron Numbers in the Brain}},
694     journal = {The Journal of Neuroscience},
695     volume = {25},
696     number = {10},
697     pages = {2518-2521},
698     year = {2005}
699 % We developed a novel, fast, and inexpensive method to quantify total numbers of
neuronal and non-neuronal cells in the brain. Estimates can be obtained in 24 h, vary
by <10% among animals, and are independent of brain volume.
700 }
701 @Article{Perry05,
702     author = {Perry et al.},
703     pages = {379-382},
704     title = {{Comparative Analyses Reveal a Complex History of Molecular
Evolution for Human MYH16}},
705     journal = {Molecular Biology and Evolution},
706     volume = {22},
707     year = {2005}
708 % The age of the human lineage-specific frameshift deletion of MYH15 is estimated at
5.3 Mya, against 2.4 Mya for Stedman04. In addition, conflicting estimates of
nonsynonymous fixation rates across different regions of this gene reveal a complex
pattern inconsistent with a simple model of pseudogene evolution. Although the human
MYH16 downstream region is desactivated (neutral evolution in the past 5 Myr) it is
possible that the upstream region still remains active and functional (functional
constraint) . These results question the idea that inactivation of MYH16 was
associated with masticatory gracilization and an increased cranial capacity in the
genus Homo.
709 }
710 @Article{Roth05,
711     author = {Roth et al.},
712     pages = {250-257},
713     title = {{Evolution of the brain and intelligence}},
714     journal = {Trends in Cognitive Science},
715     volume = {9},
716     year = {2005}
717 % Mental or behavioral flexibility is a good measure of intelligence, resulting in
the appearance of novel solutions that are not part of the animal's normal
repertoire. Factors that correlate better with intelligence are the number of
cortical neurons and conduction velocity, as the basis for information-processing
capacity. The outstanding intelligence of humans appears to result from a combination
and enhancement of properties found in primates, such as theory of mind, imitation
and language, rather than from 'unique' properties. Cf Table 1 for data on brain
mass, # cortical neurons and EC for various animals, but check with Herculano-
Houzel's estimates.
718 }
719 @Article{Toro05,
720     author = {Toro et al.},
721     title = {{A morphogenetic model for the development of cortical
convolutions}},
722     journal = {Cerebral Cortex},
723     volume = {15},
724     pages = {1900-1913},
725     year = {2005}
726 % The developing brain is modelled as a growing closed surface, representing the
cerebral cortex, pulled radially by fibres, representing radial glia and early axonal
connectivity. The cerebral cortex and fibres are characterized by their elasticity
and plasticity.
727 }
728 @Article{Balter07,
729     author = {Michael Balter},
730     title = {{Brain evolution studies go micro}},
731     journal = {Science},
732     volume = {315},
733     pages = {1208-1211},

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734         year = {2007}
735 % Signs of human uniqueness could be found in microanatomical structures and
enhancements in the wiring and connectivity of nerve cells that our ape cousins lack.
For example, Von Economo neurons (rediscovered by Hof) may relay nerve impulses
swiftly. Astrocytes trigger synapse formation by secreting thrombospondins and human
express up to six times as much thrombospondin messenger RNA and protein than do
either chimps or macaques.
736 }
737 @Article{Bruner07,
738     author = {Emiliano Bruner},
739     title = {{Cranial shape and size variation in human evolution: structural and
functional perspectives}},
740     journal = {Childs Nerv Syst},
741     volume = {23},
742     pages = {1357-1365},
743     year = {2007}
744 % Cf Figure 4 for a structural network model of the evolution of the cranium shape.
745 }
746 @Article{Caceres07,
747     author = {Cáceres et al.},
748     title = {{Increased Cortical Expression of Two Synaptogenic Thrombospondins
in Human Brain Evolution}},
749     journal = {Cerebral Cortex},
750     volume = {17},
751     pages = {2312-2321},
752     year = {2007}
753 % Thrombospondins are extracellular-matrix glycoproteins implicated in the control of
synaptogenesis and neurite growth. Corresponding genes were upregulated during human
brain evolution, with a 6-fold and ~2-fold greater expression of THBS4 and THBS2 RNAs/
proteins in human cerebral cortex compared with chimpanzees and macaques. This
increased expression could result in changes in synaptic organization and plasticity,
and contribute to the distinctive cognitive abilities of humans, as well as to our
unique vulnerability to neurodegenerative disease.
754 }
755 @Article{Deaner07,
756     author = {Deaner et al.},
757     title = {{Overall Brain Size, and Not Encephalization Quotient, Best Predicts
Cognitive Ability across Non-Human Primates}},
758     journal = {Brain, Behavior and Evolution},
759     volume = {70},
760     pages = {115-124},
761     year = {2007}
762 % Absolute brain size measures were the best predictors of primate cognitive ability
rather than encephalization quotient or brain size residuals, and there was no
indication that neocortex-based measures were superior to measures based on the whole
brain. Cognitive performance is entirely dependent on some absolute feature of the
brain, like the combination of the total number of cortical neurons and the
conduction velocity of their fibers.
763 }
764 @Article{Herculano-Houzel07,
765     author = {Herculano-Houzel et al.},
766     title = {{Cellular scaling rules for primate brains}},
767     journal = {PNAS},
768     volume = {104},
769     number = {9},
770     pages = {3562-3567},
771     year = {2007}
772 % The scaling function for primate brain is isometric ( $11 \times \text{size} \Rightarrow 10 \times \text{neurons} + 12 \times \text{non-}$ 
neurons) and differ significantly from the rodent one, where brain size increases
faster than the numbers of neurons. Primate brains have a larger number of neurons
than rodent brains of similar size, presumably endowing them with greater
computational power and cognitive abilities. In addition, the glia/neuron index does
not correlate with brain mass in primates.
773 }
774 @Article{Ibraimov07,
775     author = {A. I. Ibraimov},
776     title = {{The Evolution of Body Heat Conductivity, Skin and Brain Size in

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Human}},
777     journal = {J. Hum. Ecol.},
778     volume = {21},
779     pages = {95-103},
780     year = {2007}
781 % During human evolution, the presence of skin covered with hair became a serious
obstacle in keeping the temperature homeostasis, in particular to dissipate the
excessive heat generated by an enlarging brain. Human skin is covered by more than 1.5
million sweat glands, which allow to evacuate most of the metabolic heat. The
transfer of thermal energy for short distance, is restricted by characteristics of
individual cells. The significant variability of the human Body Heat Conductivity is
connected with the amount of chromosomal Q-Heterochromatin Regions in his genome. Q-
HRs is available only in the genome of H. sapiens, P. troglodytes and G. gorilla. The
heat-protection function of hair passed over to the layer of subcutaneous adipose
tissue.
782 }
783 @Article{Herculano-Houzel08,
784     author = {Herculano-Houzel et al.},
785     title = {{The basic non-uniformity of the cerebral cortex}},
786     journal = {PNAS},
787     volume = {105},
788     number = {34},
789     pages = {12593-12598},
790     year = {2008}
791 % The relation Number/Area = Density*Thickness applies for the cerebral cortex.
Across primate species, N/A is not constant, T is not inversely proportional to D, A
increases more slowly than N, N/A is a linear function of D, and D is not related to
A nor N.
792 }
793 @Article{Toro08,
794     author = {Toro et al.},
795     title = {{Brain size and folding of the human cerebral cortex}},
796     journal = {Cerebral Cortex},
797     volume = {18},
798     pages = {2352-2357},
799     year = {2008}
800 % Folding of the cerebral cortex may be a natural outcome of increasing brain size.
In humans, the correlation between cortical surface and hemispheric volume has a slope
of 0.85, higher than the geometrically expected 2/3, and varies along a rostro-caudal
gradient. The expansion of the cerebral cortex, especially in the prefrontal regions,
is a major evolutionary landmark in the emergence of human cognition.
801 }
802 @Article{Azevedo09,
803     author = {Azevedo et al.},
804     title = {{Equal numbers of neuronal and non-neuronal cells make the human
brain an isometrically scaled-up primate brain}},
805     journal = {The Journal of Comparative Neurology},
806     volume = {513},
807     pages = {532-541},
808     year = {2009}
809 % The human brain is composed of 86.1 +/- 8.1 billion neurons and 84.6 +/- 9.8
billion nonneuronal cells. Only 19% of all neurons are located in the cerebral
cortex, so the greater cortical size (82% of total brain mass) in humans does not
reflect an increased relative number of cortical neurons. These findings challenge
the common view that humans stand out from other primates in their brain composition.
810 }
811 @Article{Herculano09,
812     author = {Herculano-Houzel et al.},
813     title = {{The human brain in numbers: a linearly scaled-up primate brain}},
814     journal = {Frontiers in Human Neuroscience},
815     volume = {3},
816     pages = {Article 31},
817     year = {2009}
818 % Brain size can no longer be considered a proxy for the number of neurons in the
brain. The human brain is not exceptional in its cellular composition and cortex mass
fraction. However, it has two advantages compared to other mammalian brains: being
built on very economical space-saving scaling rules and being the largest brain among

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primate brains. These findings argue in favor of a view of cognitive abilities that
is centered on absolute numbers of neurons rather than body size or encephalization
quotient.
819 }
820 @Article{Oberheim09,
821     author = {Oberheim et al.},
822     title = {{Uniquely hominid features of adult human astrocytes}},
823     journal = {The Journal of Neuroscience},
824     volume = {29},
825     pages = {3276-3287},
826     year = {2009}
827 % Human cortical astrocytes are both larger (2.6-fold in diameter and in length)
structurally more complex (10-times more GFAP(glial fibrillary acidic protein)
processes in parallel) and more diverse (several unique subclasses) than those of
rodents. This astrocytic complexity may have permitted the increased functional
competence of the adult human brain.
828 }
829 @Article{Allman10,
830     author = {Allman et al.},
831     title = {{The von Economo neurons in frontoinsular and anterior cingulate
cortex in great apes and humans}},
832     journal = {Brain Struct. Funct.},
833     volume = {214},
834     pages = {495-517},
835     year = {2010}
836 % The von Economo neurons (VENs) are large bipolar neurons located in the
frontoinsular cingulate cortex of the inferior anterior insula, whose activity is
related to physiological changes in the body, decision-making, error recognition, and
awareness. The protein encoded by the gene DISC1 suppresses dendritic branching, has
undergone rapid evolutionary change in the human lineage and is preferentially
expressed by the VENs, so it may be involved in the distinctive VEN morphology.
837 }
838 @Article{Herculano10A,
839     author = {Herculano-Houzel et al.},
840     title = {{Connectivity-driven white matter scaling and folding in primate
cerebral cortex}},
841     journal = {PNAS},
842     volume = {107},
843     number = {44},
844     pages = {19008-19013},
845     year = {2010}
846 % The mass of the white matter scales linearly across species with its number of
nonneuronal cells, which is proportional to the total length of myelinated axons in
the white matter. This implies that the axonal cross-section area in the white matter
 $A_w$  does not scale with the number of neurons in the gray matter  $Nr_g$ . The surface
area of the white matter  $S_w$  scales as  $Nr_g^{0.87}$  rather than  $Nr_g^{1.0}$ , so
connectivity decreases in larger cerebral cortices because a diminishing fraction of
neurons (scaling as  $Nr_g^{-0.16}$ ) sends myelinated axons into the white matter. A white
matter-based mechanism to account for increased cortical folding could be driven by
connectivity-related tension in the white matter, pulling down on the gray matter.
847 }
848 @Article{Herculano10B,
849     author = {Herculano-Houzel et al.},
850     title = {{Coordinated scaling of cortical and cerebellar numbers of neurons}},
851     journal = {Frontiers in Neuroanatomy},
852     volume = {4},
853     pages = {Article 12},
854     year = {2010}
855 % The relative size of the cortex increases with brain size, but not the relative
cerebellar size. However, the numbers of neurons in the cortex and cerebellum are
directly correlated across mammalian species of four different orders, with on
average 3.6 cerebellar neurons to every cortical neuron.
856 }
857 @Article{Sherry10,
858     author = {Sherry et al.},
859     title = {{Seasonal hippocampal plasticity in food-storing birds}},
860     journal = {Phil. Trans. R. Soc. B},

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861         volume = {365},
862         pages = {933-943},
863         year = {2010}
864 % The total size of the chickadee hippocampus (necessary for accurate cache
retrieval) increases in autumn and winter as does the rate of hippocampal
neurogenesis. The peak in recruitment of new neurons into the hippocampus occurs
before birds have completed food storing, qso may therefore be associated with
encoding the spatial locations of caches or creating a neuronal architecture involved
in the recollection of cache sites.
865 }
866 @Article{Weisbecker10,
867     author = {Weisbecker et al.},
868     title = {{Brain size life history and metabolism at the marsupial placental
dichotomy}},
869     journal = {PNAS},
870     volume = {107},
871     pages = {16216-16221},
872     year = {2010}
873 % In placentals, basal metabolic rate (BMR) correlate with relative brain size.
Marsupials lack this correlation because they achieve brain sizes comparable to
placentals through extended lactation. Brain development (including most of
neurogenesis) continues to proceed slowly during the extended postnatal life in the
pouch. Also, the misconception that marsupials are systematically smaller-brained
than placentals is driven by the inclusion of primates in the calculations.
874 }
875 @Article{Herculano11A,
876     author = {Herculano-Houzel},
877     title = {{Not All Brains Are Made the Same: New Views on Brain Scaling in
Evolution}},
878     journal = {Brain, Behavior and Evolution},
879     volume = {78},
880     pages = {22-36},
881     year = {2011}
882 % The cortex and cerebellum scale in size as clade-specific functions of their
numbers of neurons, so the neuronal density and glia/neuron ratio do not scale
universally with structure mass and mammalian brains of a similar size can hold very
different numbers of neurons. Different species and brain structures gain nonneuronal
cells in the same manner, average neuronal size scales in a structure- and clade-
specific manner, glia/neuron ratio scales homogeneously with neuronal density and
average neuronal size.
883 }
884 @Article{Herculano11A,
885     author = {Herculano-Houzel},
886     title = {{Scaling of Brain Metabolism with a Fixed Energy Budget per Neuron:
Implications for Neuronal Activity, Plasticity and Evolution}},
887     journal = {PLOS One},
888     volume = {6},
889     number = {3},
890     pages = {e17514},
891     year = {2011}
892 % The estimated glucose use per neuron only vary by 40% across six species of rodents
and primates and does not correlate with the neuronal density, number of neurons or
brain size. It has a average value of  $1.50 \pm 0.49 \times 10^{-8}$   $\mu\text{mol}/\text{neuron-min}$  in the cortex
and  $0.87 \pm 0.36 \times 10^{-9}$   $\mu\text{mol}/\text{neuron-min}$  in the cerebellum, which leads to a metabolic
cost of 6.0 kCal/day/ $10^9$  neurons given a cortex/cerebellum repartition of 0.80. The
average oxygen consumption is  $6.63 \pm 1.37$  ml/neuron-min.
893 }
894 @Article{Daegling12,
895     author = {David J. Daegling},
896     title = {{The Human Mandible and the Origins of Speech}},
897     journal = {Journal of Anthropology},
898     volume = {2012},
899     pages = {Article ID 201502},
900     year = {2012}
901 % High-frequency, low-magnitude loads associated with articulate speech are
hypothesized to explain the apparent paradox of hypertrophied mandibular bone in
contrast to the reduced bone thickness that typifies the remainder of the modern

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human skull. This hypothesis is testable by different means, but at present it is not directly supported by experimental, developmental or comparative data. Instead, the observations on bone mass in human mandibles are merely consistent with the idea that the mechanobiology of speech can effect bone formation to a significant degree.

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902 }
903 @Article{Dugas-Ford12,
904     author = {Dugas-Ford et al.},
905     title = {{Cell-type homologies and the origins of the neocortex}},
906     journal = {PNAS},
907     volume = {109},
908     pages = {16974-16979},
909     year = {2012}
910 % The six-layered neocortex is a uniquely mammalian structure with disputed
    evolutionary origins. Findings establish that the layer 4 input and the layer 5
    output cell types are conserved across the amniotes, but are organized into very
    different architectures: nuclei in birds, cortical areas in reptiles and cortical
    layers in mammals.
911 }
912 @Article{Fonseca12,
913     author = {Fonseca-Azevedo et al.},
914     title = {{Metabolic constraint imposes tradeoff between body size and number
    of brain neurons in human evolution}},
915     journal = {PNAS},
916     volume = {109},
917     number = {45},
918     pages = {18571-18576},
919     year = {2012}
920 % The energetic cost of the brain is a linear function of its numbers of neurons.
    Given the limited number of hours available for feeding and the low caloric yield of
    raw foods, a tradeoff appears between body size and number of brain neurons. This
    limitation was probably overcome in Homo erectus with the shift to a cooked diet.
921 }
922 @Article{Han12,
923     author = {Han et al.},
924     title = {{Forebrain Engraftment by Human Glial Progenitor Cells Enhances
    Synaptic Plasticity and Learning in Adult Mice}},
925     journal = {Cell Stem Cell},
926     volume = {12},
927     pages = {342-353},
928     year = {2013}
929 % Engrafted human glial progenitor cells (GPCs) into neonatal immunodeficient mice
    resulted in large numbers of human glial astrocytes. They retain the size and
    pleomorphism of hominid astroglia, propagate Ca2+ signals 3-fold faster than their
    hosts, and release the human glial cytokine TNF $\alpha$  that potentiates synaptic
    transmission via an increase in GluR1 receptors. Chimeric mice exhibited enhanced
    learning abilities in Barnes maze navigation and object-location memory, but also
    both contextual and tone fear conditioning.
930 }
931 @Article{Herculano12,
932     author = {Herculano-Houzel},
933     title = {{The remarkable yet not extraordinary human brain as a scaled-up
    primate brain and its associated cost}},
934     journal = {PNAS},
935     volume = {109},
936     number = {suppl. 1},
937     pages = {10661-10668},
938     year = {2012},
939 % The human brain is a scaled-up primate brain in its cellular composition and
    metabolic cost, with a relatively enlarged cerebral cortex that does not have a
    relatively larger number of brain neurons yet is remarkable in its cognitive
    abilities and metabolism simply because of its extremely large number of neurons.
940 }
941 @Article{Lee12,
942     author = {Lee et al.},
943     title = {{Reciprocal influence of masticatory apparatus, craniofacial
    structure and whole body homeostasis}},
944     journal = {Medical Hypotheses},

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945     volume = {79},
946     pages = {761-766},
947     year = {2012}
948 % There have been disputes on the impact of MYH16 gene mutation on the evolution into
human, because (1) the age of the deletion at 5.3 Mya is inconsistent with a simple
model of pseudogene evolution for human MYH16 (2) greater encephalization in humans
is attained mostly by acceleration of brain growth rates in fetal and early postnatal
stages, during early ontogeny, long before the masticatory muscles have reached peak
force-generating potential.
949 }
950 @Article{Mota12,
951     author = {Mota et al.},
952     title = {{How the cortex gets its folds An inside-out, connectivity-driven
model for the scaling of mammalian cortical folding}},
953     journal = {Frontiers in Neuroanatomy},
954     volume = {6},
955     pages = {Article 3},
956     year = {2012}
957 % A testable, quantitative model of cortical folding is presented, driven by tension
along the length of axons in the WM that considers that axonal connections through
the WM generate tension that leads to inward folding of the WM surface then of the GM
surface. An important simplifying hypothesis assumes that axons leaving or entering
the WM do so approximately perpendicularly to the WM-GM interface. The model predicts
that for a same tension, folding increases with connectivity through the WM and
increased axonal cross-section; for a same number of neurons, higher connectivity
through the WM leads to a higher degree of folding as well as an on average thinner
GM across species.
958 % It is often considered that cortical folding is a means of making more neurons fit
into a space-limited brain, as the larger-than-expected cortical surface supposedly
allows a larger-than-expected number of neurons for a given cranial volume. However,
the model shows that cortical expansion can no longer be considered to occur
homogeneously nor with a constant number of neurons beneath a unit surface area. This
means that it is no longer necessarily true that more convoluted cortices have more
neurons than less convoluted cortices.
959 }
960 @Article{Smaers12,
961     author = {Smaers et al.},
962     title = {{Comparative analyses of evolutionary rates reveal different
pathways to encephalization in bats, carnivorans, and primates}},
963     journal = {PNAS},
964     volume = {109},
965     pages = {18006-18011},
966     year = {2012}
967 % A principal focus on interpreting relative brain size evolution as selection on
neuronal capacity confounds the effects of body mass changes, thereby hiding
important aspects that may contribute to explaining animal diversity. The majority of
branches indicate a decelerated rate of brain mass change compared with body mass,
suggesting that body mass significantly outpaced brain mass changes in most lineages.
968 % For bats, a decrease in body mass can lead to a decrease in physiological costs of
powered flight and results in increased maneuverability, allowing bats to forage in
cluttered space. However, navigation and orientation in cluttered environments are
neurologically more demanding, preventing bats from equally high rates of brain mass
reduction during dwarfing.
969 }
970 @Article{Watson12,
971     author = {Watson et al.},
972     title = {{What Determines Motor Neuron Number Slow Scaling of Facial Motor
Neuron Numbers With Body Mass in Marsupials and Primates}},
973     journal = {The Anatomical Record},
974     volume = {295},
975     pages = {1683-1691},
976     year = {2012}
977 % In 22 marsupial species, the number of facial motor neurons is strongly correlated
with body mass and scales as  $N=M^{0.184}$  : doubling the body mass increase the number
of facial motor neurons by only 14%. This very small exponent is close to the value
of 0.346 for primates and similar to the values for birds and amphibians. Further
comparative studies relating the size of particular motor neuron pools, such as those

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that innervate the hands, and manual dexterity are required to address this issue.
978 }
979 @Article{Gervain13,
980     author = {Gervain et al.},
981     title = {{Valproate reopens critical-period learning of absolute pitch}},
982     journal = {Frontiers in Systems Neuroscience},
983     volume = {7},
984     pages = {Article 102},
985     year = {2013}
986 % Histone-deacetylase inhibitors (HDAC) like Valproate enable adults to establish
perceptual preferences that are otherwise impossible to acquire after youth, by
facilitating critical-period learning in the adult brain without a general change in
cognitive function. VPA is commonly used as a mood stabilizer in bipolar disorder and
has an effect on the Altman Self-Rating Mania Scale, but an improved or more stable
mood cannot explain the obtained results.
987 }
988 @Article{Kamberov13,
989     author = {Kamberov et al.},
990     title = {{Modeling Recent Human Evolution in Mice by Expression of a Selected
EDAR Variant}},
991     journal = {Cell},
992     volume = {152},
993     pages = {691-702},
994     year = {2013}
995 % The EDARV370A variant of the human Ectodysplasin receptor arose in central China
~30kya and appears to have been subject of recent positive selection in humans. In
knock-in mouse models, this allele results in increased hair thickness, increased
eccrine gland number, reduced mammary fat pad size, and increased mammary gland
branch density.
996 }
997 @Article{Paabo13,
998     author = {Svante Pääbo},
999     title = {{Human origins from a genomic perspective}},
1000     journal = {Scripta Varia},
1001     volume = {121},
1002     url = {www.casinapioiv.va/content/dam/accademia/pdf/sv121/sv121-paabo.pdf},
1003     year = {2013}
1004 % The list of genetic changes which define modern humans as a group distinct from
other primates (shared in identical form among almost all humans, but not by
Neandertals and Denisovans) is not extremely long : 111,812 single nucleotide changes
and 9,499 insertions or deletions of a number of adjacent nucleotides, compared to 3
billion nucleotides of the entire genome.
1005 }
1006 @Article{Ribeiro13,
1007     author = {Ribeiro et al.},
1008     title = {{The human cerebral cortex is neither one nor many: neuronal
distribution reveals two quantitatively different zones in the gray matter, three in
the white matter, and explains local variations in cortical folding}},
1009     journal = {Frontiers in Neuroanatomy},
1010     volume = {7},
1011     pages = {Article 28},
1012     year = {2013}
1013 % The human prefrontal cortex shares the same relationship between cortical volume
and number of neurons with the remainder of the cortex, but has the largest fraction
of neuronal connectivity through the white matter and the smallest average axonal
caliber in the white matter. Local variations in cortical folding are neither a
function of local numbers of neurons nor of cortical thickness, but are best
explained by the folding of the white matter surface.
1014 % The human cerebral cortex is divided in two zones (occipital and non-occipital)
that differ in how neurons are distributed across their gray matter volume and in
three zones (prefrontal, occipital, and non-occipital) that differ in how neurons are
connected through the white matter.
1015 }
1016 @Article{Rohner13,
1017     author = {Rohner et al.},
1018     title = {{Cryptic Variation in Morphological Evolution: HSP90 as a Capacitor
for Loss of Eyes in Cavefish}},

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1019         journal = {Science},
1020         volume = {342},
1021         pages = {1372-1375},
1022         year = {2013}
1023 % HSP90 (heat shock protein 90) provides a molecular mechanism for buffering genetic
variation and releasing it in response to environmental, by assisting in the folding
of proteins that are metastable signal transducers, such as kinases, transcription
factors, and ubiquitin ligases. Inhibiting HSP90 with Radicicol results in the
release of cryptic variation present in the untreated population. Most of the low-
penetrance phenotypes were not obviously adaptive and either not viable or transient,
but the viable alleles remain active in the offspring even without HSP inhibitors.
1024 }
1025 @Article{Snell-Rood13,
1026     author = {Snell-Rood et al.},
1027     title = {{Anthropogenic environments exert variable selection on cranial
capacity in mammals}},
1028     journal = {Proc. R. Soc. B},
1029     volume = {280},
1030     pages = {20131384},
1031     year = {2013}
1032 % It is thought that behaviourally flexible species will be able to cope with novel
and rapidly changing environments associated with human activity. Results provide
partial support for this hypothesis, although selection may be most pronounced early
during the urban colonization process : urban populations of two small mammal species
had significantly greater cranial capacity than rural populations and species with
higher fecundity showed more pronounced differentiation between urban and rural
populations. However, data also suggest that behavioural plasticity may be
simultaneously favoured in rural environments, which are also changing because of
human activity.
1033 }
1034 @Article{Ventura13,
1035     author = {Ventura-Antunes et al.},
1036     title = {{Different scaling of white matter volume, cortical connectivity,
and gyrification across rodent and primate brains}},
1037     journal = {Frontiers in Neuroanatomy},
1038     volume = {7},
1039     pages = {Article 3},
1040     year = {2013}
1041 % Very different scaling rules for white matter expansion are found between rodents
and primates, favoring volume conservation and smaller propagation times in the
latter. Order-specific scaling of the white matter can be attributed to a different
scaling of average fiber caliber and neuronal connectivity. The cortical folding
increase as function of the number of cortical neurons is faster in primates than in
rodents.
1042 }
1043 % =====
1044 % Dossier "Neuro-génétique"      (26 articles)
1045
1046 @Article{Chenn02,
1047     author = {Chenn et al.},
1048     title = {{Regulation of Cerebral Cortical Size by Control of Cell Cycle Exit
in Neural Precursors}},
1049     journal = {Science},
1050     volume = {297},
1051     pages = {365-369},
1052     year = {2002}
1053 % Transgenic mice expressing a stabilized  $\beta$ -catenin in neural precursors develop
enlarged brains with increased cerebral cortical surface area and folds resembling
sulci and gyri of higher mammals.  $\beta$ -catenin can function in the decision of
neuroepithelial precursor cells to proliferate or differentiate during neuronal
development and can regulate cerebral cortical size by controlling the generation of
neural precursor cells.
1054 }
1055 @Article{Enard02,
1056     author = {Enard et al.},
1057     title = {{Molecular evolution of FOXP2, a gene involved in speech and
language}},

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1058         journal = {Nature},
1059         volume = {418},
1060         pages = {869-872},
1061         year = {2002}
1062 % FOXP2 is the first gene relevant to the human ability to develop language, two
functional copies seeming to be required for acquisition of normal spoken language.
Individuals with disruption of FOXP2 have multiple difficulties with both expressive
and receptive aspects of language and grammar, a predominant feature being an
impairment of selection and sequencing of fine orofacial movements. Also, human FOXP2
contains two changes in amino-acid coding and a pattern of nucleotide polymorphism
strongly suggesting selection during recent human evolution.
1063 }
1064 @Article{Chenn03,
1065     author = {Chenn et al.},
1066     title = {{Increased Neuronal Production, Enlarged Forebrains and
Cytoarchitectural Distortions in  $\beta$ -Catenin Overexpressing Transgenic Mice}},
1067     journal = {Cerebral Cortex},
1068     volume = {13},
1069     pages = {599-606},
1070     year = {2003}
1071 % Compared to the previous study, adult mice expressing lower levels of stabilized  $\beta$ -
catenin still develop enlarged forebrains with thin cerebral cortices with increased
surface area, expanded subventricular zones with subcortical aggregations of neurons
and enlarged, distorted hippocampi. However, their brains also show apparent arrest
of neuronal migration and dramatic disorganization of the layering of the cerebral
cortex. Transgenic mice occasionally survived to adulthood, with abnormal aggregates
of neurons in the cortex, hippocampus, amygdala, along with an increase in fearful
and aggressive behaviour.
1072 }
1073 @Article{Zhang03,
1074     author = {Jianzhi Zhang},
1075     title = {{Evolution of the human ASPM gene, a major determinant of brain
size}},
1076     journal = {Genetics},
1077     volume = {165},
1078     pages = {2063-2070},
1079     year = {2003}
1080 % ASPM went through an episode of accelerated sequence evolution by positive
Darwinian selection after the split of humans and chimpanzees but before the
separation of modern non-Africans from Africans, between 6-7 and 0.1 MYa, while the
human brain expansion took place between 2-2.5 and 0.2-0.4 MYa. The adaptive amino
acid substitutions in human ASPM are located in exons 3-18-20-21-22, which encode a
putative microtubule-binding domain and an IQ calmodulin-binding domain, suggesting a
role in the regulation of mitosis in the nervous system.
1081 }
1082 @Article{Dorus04,
1083     author = {Dorus et al.},
1084     title = {{Accelerated evolution of nervous system genes in the origin of Homo
sapiens}},
1085     journal = {Cell},
1086     volume = {119},
1087     pages = {1027-1040},
1088     year = {2004}
1089 % The pace of protein evolution as scaled to neutral divergence is commonly
approximated by the ratio between nonsynonymous (Ka) and synonymous (Ks) substitution
rates. Nervous system genes have overall low Ka/Ks, since they tend to experience
strong evolutionary constraint, but housekeeping genes Ka/Ks were lower. Among them,
24 primate genes have significantly higher Ka/Ks than in rodents and show the
following effects on knockout :
1090 % CASP3 (exhibits severe overgrowth of brain) LHX1 (absence of brain and other
anterior structures) NRCAM (reduced cerebellum size) ASPM, MCPH1, PAFAH1B1, SHH
(microcephaly) DVL1 (defective social behavior) PEG3 (impaired maternal behavior)
ADCYAP1 (altered anxiety state) GDI1, GRIN2A, CSPG3 (deficits in learning or neural
correlates of learning) CHRM5, DRD2, OPRM1 (defects in acquiring reward-mediated
behavior) AANAT (alteration in circadian rhythm)
1091 % Other genes not included in this study, such as AHI1 and GLUD2, have also revealed
a possible link between alterations in protein sequences and phenotypic evolution of

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the human brain (Ferland et al. 2004; Burki and Kaessmann 2004).
1092 }
1093 @Article{Rakic04,
1094     author = {Pasko Rakic},
1095     title = {{Genetic Control of Cortical Convolutions}},
1096     journal = {Science},
1097     volume = {303},
1098     pages = {1983-1984},
1099     year = {2004}
1100 % The human GPR56 gene encodes for an orphan G protein-coupled receptor, implicating
receptor signaling in the development of specific areas of the human cerebral cortex.
The mouse Gpr56 gene preferentially affects neuronal progenitors in the embryonic
mouse proliferative zones. An experimentally induced increase in neuronal production
in the ventricular zone resulted in a larger number of radial columns and an increase
in the cortical surface. Overproduction of neurons due to GPR56 mutations probably
affects neurons that use a radial rather than a tangential mode of migration.
1101 }
1102 @Article{Webb05,
1103     author = {Webb et al.},
1104     title = {{FoxP2 in Song-Learning Birds and Vocal-Learning Mammals}},
1105     journal = {Journal of Heredity},
1106     volume = {96},
1107     pages = {212-216},
1108     year = {2005}
1109 % FoxP2 sequences are extremely conserved in birds, with unusually low rates of
synonymous substitutions, but no amino acid substitutions are shared between song-
learning birds and humans. Furthermore, sequences from vocal-learning whales,
dolphins, and bats do not share the human-unique substitutions.
1110 }
1111 @Article{Lien06,
1112     author = {Lien et al.},
1113     title = {{Alpha-e-catenin controls cerebral cortical size by regulating the
hedgehog signaling pathway}},
1114     journal = {Science},
1115     volume = {311},
1116     pages = {1609-1612},
1117     year = {2006}
1118 % Central nervous system-specific deletion of the essential adherens junction gene,
aE-catenin, causes abnormal activation of the hedgehog pathway, resulting in
shortening of the cell cycle, decreased apoptosis, and cortical hyperplasia. This
connection may provide a negative feedback loop controlling the size of developing
cerebral cortex. Solid tumors may escape 'crowd control' of cell proliferation by
destabilizing the adherens junctions, one of the frequent events reported in human
cancers.
1119 }
1120 @Article{Niehrs06,
1121     author = {C. Niehrs},
1122     title = {{Function and biological roles of the Dickkopf family of Wnt
modulators}},
1123     journal = {Oncogene},
1124     volume = {25},
1125     pages = {7469-7481},
1126     year = {2006}
1127 % Dkks play an important role in vertebrate development, where they locally inhibit
Wnt regulated processes such as antero-posterior axial patterning, limb development,
somitogenesis and eye formation. In the adult, Dkks are implicated in bone formation
and bone disease, cancer and Alzheimer's disease. They encode secreted proteins that
typically antagonize Wnt/b-catenin signaling, by inhibiting the Wnt coreceptors Lrp5
and 6.
1128 }
1129 @Article{Mangale08,
1130     author = {Mangale et al.},
1131     title = {{Lhx2 Selector Activity Specifies Cortical Identity and Suppresses
Hippocampal Organizer Fate}},
1132     journal = {Science},
1133     volume = {319},
1134     pages = {304-309},

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1135         year = {2008}
1136 % Lhx2 acts as a classic selector gene and essential intrinsic determinant of
cortical identity, suppressing two alternative fates (hem and antihem) in cortical
precursors at the edges of cortex. Lhx2 null embryos possess excessive hem and
choroid plexus epithelium at the expense of hippocampus and neocortex. Within the Bmp-
Fgf8-Wnt signaling framework at the telencephalic midline, Lhx2 plays a dual role in
suppressing hem fate while specifying the fate of hem-responsive tissue to allow for
hippocampal specification within the Lhx2-positive cortical field.
1137 }
1138 @Article{Phillips08,
1139     author = {Patrick C. Phillips},
1140     title = {{Epistasis-the essential role of gene interactions in the structure
and evolution of genetic systems}},
1141     journal = {Nat. Rev. Genet.},
1142     volume = {9},
1143     pages = {855-867},
1144     year = {2008}
1145 %
1146 }
1147 @Article{Prabhakar08,
1148     author = {Prabhakar et al.},
1149     pages = {1346-1350},
1150     title = {{Human-Specific Gain of Function in a Developmental Enhancer}},
1151     journal = {Science},
1152     volume = {321},
1153     year = {2008}
1154 % The conserved noncoding sequence HACNS1 acts as an enhancer of gene expression
with a strong limb expression domain and a consistent gain of function including the
presumptive anterior wrist and proximal thumb. 13 substitutions clustered in an 81-
base pair highly constrained module are sufficient to confer the human-specific limb
expression domain.
1155 }
1156 @Article{Valender08,
1157     author = {Valender et al.},
1158     title = {{Genetic basis of human brain evolution}},
1159     journal = {Trends in Neurosciences},
1160     volume = {31},
1161     pages = {637-644},
1162     year = {2008}
1163 % Review and lists numerous genetic changes that potentially underlie human
brain evolution. They span a wide range from single-nucleotide substitutions to large-
scale structural alterations of the genome. Their functional consequences vary from
protein-sequence alterations to cis-regulatory variations and even the emergence of
new genes and the extinction of existing ones.
1164 }
1165 @Article{Enard09,
1166     author = {Enard et al.},
1167     title = {{A humanized version of foxp2 affects cortico-basal ganglia circuits
in mice}},
1168     journal = {Cell},
1169     volume = {137},
1170     pages = {961-971},
1171     year = {2009}
1172 % Mice with a humanized version of foxp2 have qualitatively different ultrasonic
vocalizations, decreased exploratory behavior and decreased dopamine concentrations
suggesting that the human allele affects basal ganglia. Also, medium spiny neurons in
the striatum have increased dendrite lengths and increased synaptic plasticity.
Alterations in cortico-basal ganglia circuits might have been important for the
evolution of speech and language in humans.
1173 }
1174 @Article{Fisher09,
1175     author = {Fisher et al.},
1176     title = {{FOXP2 as a molecular window into speech and language}},
1177     journal = {Trends in Genetics},
1178     volume = {25},
1179     pages = {161-177},
1180     year = {2009}

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1181 % Reduced FoxP2 dosage yields abnormal synaptic plasticity and impaired motor-skill
1182 learning in mice, and disrupts vocal learning in songbirds. Converging data indicate
1183 that Foxp2 is important for modulating the plasticity of relevant neural circuits.
1184 }
1185 @Article{Kenyon10,
1186     author = {Cynthia J. Kenyon},
1187     title = {{The genetics of ageing}},
1188     journal = {Nature},
1189     volume = {464},
1190     pages = {504-512},
1191     year = {2010}
1192 }
1193 @Article{Barak11,
1194     author = {Barak et al.},
1195     pages = {590-594},
1196     title = {{Recessive LAMC3 mutations cause malformations of occipital cortical
1197 development}},
1198     journal = {Nature Genetics},
1199     volume = {43},
1200     year = {2011}
1201 }
1202 @Article{McLean11,
1203     author = {McLean et al.},
1204     pages = {216-219},
1205     title = {{Human-specific loss of regulatory DNA and the evolution of human-
1206 specific traits}},
1207     journal = {Nature},
1208     volume = {471},
1209     year = {2011}
1210 }
1211 @Article{Scharff11,
1212     author = {Scharff et al.},
1213     pages = {2124-2140},
1214     title = {{Evo-devo, deep homology and FoxP2: implications for the evolution
1215 of speech and language}},
1216     journal = {Phil. Trans. R. Soc. B},
1217     volume = {366},
1218     year = {2011}
1219 }
1220 @Article{Albert12,
1221     author = {Albert et al.},
1222     title = {{A Comparison of Brain Gene Expression Levels in Domesticated and
1223 Wild Animals}},
1224     journal = {PLoS Genetics},
1225     volume = {8},
1226     pages = {e1002962},
1227     year = {2012}
1228 }
1229 % }
1230 }
1231 @Article{Charrier12,
1232     author = {Charrier et al.},
1233     pages = {923-935},
1234     title = {{Inhibition of SRGAP2 Function by Its Human-Specific Paralog
1235 Induces Neoteny during Spine Maturation}},
1236     journal = {Cell},
1237     volume = {149},
1238     year = {2012}
1239 }
1240 @Article{Dennis12,
1241     author = {Dennis et al.},
1242     pages = {912-922},
1243     title = {{Evolution of Human-Specific Neural SRGAP2 Genes by Incomplete
1244 Segmental Duplication}},
1245     journal = {Cell},
1246     volume = {149},
1247     year = {2012}

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1240 }
1241 @Article{Hu12,
1242     author = {Hu et al.},
1243     pages = {1145},
1244     title = {{Evolution of the human-specific microRNA miR-941}},
1245     journal = {Nature Communications},
1246     volume = {3},
1247     year = {2012}
1248 }
1249 @Article{Konopka12,
1250     author = {Konopka et al.},
1251     pages = {601-617},
1252     title = {{Human-Specific Transcriptional Networks in the Brain}},
1253     journal = {Neuron},
1254     volume = {75},
1255     year = {2012}
1256 }
1257 @Article{Maricic12,
1258     author = {Maricic et al.},
1259     title = {{A Recent Evolutionary Change Affects a Regulatory Element in the
Human FOXP2 Gene}},
1260     journal = {Mol. Biol. Evol.},
1261     volume = {30},
1262     pages = {844-852},
1263     year = {2012}
1264 % }
1265 }
1266 @Article{Seib13,
1267     author = {Seib et al.},
1268     title = {{Loss of Dickkopf-1 Restores Neurogenesis in Old Age and Counteracts
Cognitive Decline}},
1269     journal = {Cell Stem Cell},
1270     volume = {12},
1271     pages = {204-214},
1272     year = {2013}
1273 % }
1274 }
1275
1276 % =====
1277 % Dossier "Neuro divers"
1278
1279 % =====
1280 % Autres publications
1281
1282 % =====
1283 % Livres et articles grand-public
1284 @Book{Sirius,
1285     title = {{Sirius: A Fantasy of Love and Discord}},
1286     publisher = {Secker & Warburg},
1287     author = {Olaf Stapledon},
1288     year = {1944},
1289 }
1290 @Book{Moreau,
1291     title = {{The Island of Doctor Moreau}},
1292     publisher = {Heinemann},
1293     author = {H. G. Wells},
1294     year = {1896}
1295 }
1296 @Book{Uplift,
1297     title = {{Uplift: The Complete Original Trilogy}},
1298     publisher = {Orbit},
1299     author = {David Brin},
1300     year = {1980-1987},
1301 }
1302 @Book{Humpur,
1303     title = {{Les fables de l'Humpur}},
1304     publisher = {Millenaires / J'ai Lu},

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1305         author = {Pierre Bordage},
1306         year = {1999},
1307     }
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